

Market Data Dissemination Agreement

for Vendors

Version 7.0
Effective from 01/01/2018

between

Boerse Stuttgart GmbH
Börsenstraße 4
70174 Stuttgart

to be referred to as „Boerse Stuttgart“

and

to be referred to as „Contracting Party“

together to be referred to as „Parties“ or individually as „Party“

Document classification

Information classification: public

Reference document

General Terms and Conditions to the Market Data
Dissemination Agreement for Vendors/Revendors –
General Provisions
Version 7.1, 10/01/2018

General Terms and Conditions to the Market Data
Dissemination Agreement for Vendors/Revendors –
Special Provisions – Ticker Tape / Electronic Displays
Version 7.0, 01/01/2018

General Terms and Conditions to the Market Data
Dissemination Agreement for Vendors/Revendors –
Special Provisions – Non-Display
Version 7.1, 10/01/2018

General Terms and Conditions to the Market Data
Dissemination Agreement for Vendors/Revendors –
Special Provisions – Index Data
Version 7.0, 01/01/2018

General Terms and Conditions to the Market Data
Dissemination Agreement for Vendors/Revendors –
Special Provisions – Securities Master Data
Version 7.0, 01/01/2018

General Terms and Conditions to the Market Data
Dissemination Agreement for Vendors/Revendors –
Special Provisions – PRIIP Data
Version 1.0, 01/01/2018

General Terms and Conditions to the Market Data
Dissemination Agreement for Vendors/Revendors –
Special Provisions – Key Figures
Version 1.0, 02/01/2020

Content

1	Preamble	3
2	Subject matter of the agreement	3
3	Reservation right of modification	3
4	General provisions	3
5	Signatures of Parties	4

1 Preamble

(1.1) The Boerse is supplying its clients with various Information Products as part of its service range relating to securities. This includes all securities listed on the Boerse (such as equities, bonds, derivative securities), the prices and price estimates (best bid and best ask) determined at the Boerse and the associated volumes, indicators and statistical data, Index Data, master data, plus other types of information.

(1.2) Where connectivity to the Boerse's price data feed is established via a permanent point-to-point connection, the necessary information systems will be provided using TIBCO technology for an independent market data supply. The Boerse has procured the required licence from TIBCO Software Inc., and will provide them accordingly.

(1.3) The subscription, processing, use and dissemination of prices and other information using such information systems is regulated in detail by this Market Data Dissemination Agreement for Vendors/Revendors and its Annexes, which form an integral part hereof (hereinafter also referred to as "Market Data Dissemination Agreement for Vendors").

(1.4) Where connectivity to the Boerse's price data feed is established via a permanent point-to-point connection, the Parties to this Agreement have entered into a confidentiality agreement in advance, which then forms part of the Market Data Dissemination Agreement for Vendors.

2 Subject matter of the agreement

(2.1) The Parties hereby conclude the present Agreement for the marketing of prices, price estimates, Index Data and other information provided by the Boerse. This Agreement shall enter into force on(day/month/year); it comprises the following integral parts which are all Annexes to this Agreement:

- a) General Terms and Conditions of Business to the Market Data Dissemination Agreement for Vendors/Revendors
- b) Confidentiality agreement (in case of a permanent point-to-point connection)
- c) Connection Guideline (in case of a permanent point-to-point connection)
- d) Order Form to the Market Data Dissemination Agreement for Vendors/Revendors
- e) Price List for Electronic Connection (in case of a permanent point-to-point connection)

- f) Price List for Data Utilisation to the Market Data Dissemination Agreement for Vendors/Revendors
- g) Revendor Application
- h) Reporting/Audit Guideline
- i) Any additional agreements

(2.2) The above parts of the contract (except for the Connection Guideline, the confidentiality agreement or any additional agreements) may be viewed, downloaded and printed from the Boerse's website, at <https://www.boerse-stuttgart.de/ip>.

3 Reservation right of modification

With regard to all parts of this Agreement, the Boerse reserves the right to unilaterally amend any of these parts (with due consideration of the Contracting Party's legitimate interests, in the Boerse's reasonable discretion) by giving 90 days' notice of any such amendment.

4 General provisions

(4.1) Place of performance shall be the Boerse's registered office.

(4.2) This Market Data Dissemination Agreement for Vendors, together with any non-contractual rights or claims, shall be governed and construed by the laws of the Federal Republic of Germany. Exclusive legal venue shall be Stuttgart, Germany; however, the Boerse shall also be entitled to sue the Contracting Party at its general place of jurisdiction.

(4.3) Amendments to this Agreement and collateral agreements thereto must be made in writing. This shall also apply to any waiver of this stipulation requiring written form as per the preceding sentence.

(4.4) The invalidity or unenforceability of individual provisions or any lacuna in the Market Data Dissemination Agreement for Vendors shall not affect the validity of its remaining provisions. The remainder of this Market Data Dissemination Agreement for Vendors shall be construed as if such invalid or unenforceable provision were omitted, or such lacuna remedied, in accordance with an appropriate provision which comes closest to the economic purpose of the invalid provision or, in the case of a lacuna, corresponds to the intention of the Contracting Parties had they considered this aspect.

(4.5) The provisions of this Market Data Dissemination Agreement for Vendors shall be binding upon and inure to the benefit of any legal successors of the Parties.

5 Signatures of Parties

The contract will be executed in two copies. Both Parties will receive a copy.

On behalf of the Contracting Party

On behalf of Boerse Stuttgart GmbH

Place, date:

Place, date:

Name, fist name:

Name, fist name:

Name, fist name:

Name, fist name: