

# Non-Display Usage Declaration

Version 1.0

Effective from 10/01/2018

of

Boerse Stuttgart GmbH  
Börsenstraße 4  
70174 Stuttgart  
(„Boerse Stuttgart“)

## Document information

Information classification	public
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## Reference documents

Document title	Version	Date
General Terms and Conditions to the Market Data Dissemination Agreement for Vendors/Revendors – General provisions	7.1	1 Oct 2018
General Terms and Conditions to the Market Data Dissemination Agreement for Vendors/Revendors – Special provisions – Ticker Tape / Electronic Display Boards	7.0	1 Jan 2018
General Terms and Conditions to the Market Data Dissemination Agreement for Vendors/Revendors – Special provisions – Non-Display	7.1	1 Oct 2018
General Terms and Conditions to the Market Data Dissemination Agreement for Vendors/Revendors – Special provisions – Index-Data	7.0	1 Jan 2018
General Terms and Conditions to the Market Data Dissemination Agreement for Vendors/Revendors – Special provisions – Securitized master data	7.0	1 Jan 2018
General Terms and Conditions to the Market Data Dissemination Agreement for Vendors/Revendors – Special provisions – PRIIP Data	1.0	1 Jan 2018

## Content

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## 1 Non-Display Usage Declaration

- (1.1.) This declaration requires the Contracting Party to specify all Non-Display Information usage of Boerse Stuttgart Information subject to the Non-Display Information usage from the GTCs Special Provisions Non-Display usage.
- (1.2.) The Contracting Party is asked to declare his category of Non-Display Information usage as well as the start date of the respective kind of Non-Display Information usage in this declaration.
- (1.3.) All completed and scanned declarations should be emailed to [mailinfo@boerse-stuttgart.de](mailto:mailinfo@boerse-stuttgart.de)

Please contact [mailinfo@boerse-stuttgart.de](mailto:mailinfo@boerse-stuttgart.de) if further clarification is required with respect to any aspects of the Non-Display Information usage of Boerse Stuttgart Information or any guidance is required on how to complete this declaration.

## 2 Contracting Party Details

Contracting Party Contact Information		
	Customer Contact	Invoicing Contact <input type="checkbox"/> Click if same as customer contact
Company Name: *		
Name:		
Title: *		
Desk Phone: *		
Mobile Phone:		
Email: *		
Address: *		
Address 2:		
Post Code: *		
City: *		
Region/County:		
Country: *		
VAT Number: <sup>1</sup>		

\*Mandatory Field;

<sup>1</sup> VAT Number is mandatory for all countries within the European Union.

Please specify the name(s) of the party/parties that you receive Subscriber-Controlled Information (e.g. datafeeds) from:

List of Market Data Provider(s)	
1	Insert name of provider
2	Insert name of provider
3	Insert name of provider

### 3 Declaration of Non-Display Information usage

With respect to Non-Display Information usage, there are three categories of Non-Display usage:

#### Category 1 – TRADING ACTIVITY

The category trading activity covers all non-display information usage related to the trading business. Trading activity thus includes semi-automatic or automatic order and quote generation, pricing, order pegging, the use of the information as a reference price for trading purposes, smart order routing, order management, execution management, market making, the "black box" trading, algorithmic trading, as well as program trading and the operation of a trading platform.

For the category trading activity it is irrelevant whether the counterparty of Boerse Stuttgart operates own or foreign trade, broker or agent and / or operates a trading platform.

#### Category 2 – INDEX CALCULATION

The category index calculation includes the use of real-time information for the purpose of calculating one or more indexes that are redistributed either internally or externally. The calculation of key figures such as the NAV or iNAV does not fall under the category index calculation.

#### Category 3 – NON-DISPLAY INFORMATION USAGE IN OTHER APPLICATIONS

The Non-Display Information usage category in other applications includes use for purposes other than trading activities and / or index calculation, e.g. Risk management, profit-loss calculations, portfolio valuation, quantitative analyzes, fund administration, portfolio management.

Please indicate for each category of Non-Display Information usage its applicability to your organization.

**Non-Display Usage****Category 1 – TRADING ACTIVITIES**

- Applicable  
 Not Applicable

**Category 2 – INDEX CALCULATION**

- Applicable  
 Not Applicable

**Category 3 – NON-DISPLAY INFORMATION USAGE IN OTHER APPLICATIONS**

- Applicable (please complete section 6)  
 Not Applicable

If applicable, please provide a brief description of your organization's TRADING ACTIVITIES Use

If applicable, please provide a brief description of your organization's INDEX CALCULATION Use

If applicable, please provide a brief description of your organization's NON-DISPLAY INFORMATION USAGE IN OTHER APPLICATIONS

## 4 Acceptance

- The Contracting Party acknowledges and agrees that the Fees for Non-Display Information usage shall be applicable from "Declaration Effective Date". The respective license is to be ordered in the "Order Form to the Market Data Dissemination Agreement for Vendors/Revendors" of Boerse Stuttgart.
- The Contracting Party declares to Boerse Stuttgart that the Contracting Party is in receipt of Real Time Information for the purpose of use in respect of the business activities declared above.
- The Contracting Party undertakes to promptly inform Boerse Stuttgart of any changes to the information given in this declaration.
- The Contracting Party is required to provide written notification to Boerse Stuttgart, of any change of usage, including, but not limited to, the cessation of Non-Display Information Usage. Any such changes will become effective at the end of the calendar month following receipt of the notification.

<b>Company:</b>	
<b>Signature:</b>	
<b>Signature Date:</b>	
<b>Name:</b>	
<b>Title:</b>	
<b>Declaration Effective Date:</b>	

### Form Submission Instructions:

1. Upon completion of the form, please perform the following steps:
2. Save this completed form electronically to your computer.
3. Print the form.
4. Sign and date the signature table in "Section 4: Acceptance".
5. Scan the form.

Open your email application and send both your saved completed electronic form, and your scanned signed version (i.e. please attached two documents to the email) and send to the following email address: [mailinfo@boerse-stuttgart.de](mailto:mailinfo@boerse-stuttgart.de)