

# Listing of derivative securities

at the Stuttgart Stock Exchange

# Agenda

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4. Trading and settlement currencies for derivative securities
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# One market segment

# One market segment

## Stuttgart Stock Exchange

- Listing at the leading trading venue for derivative securities in Europe
- Listing requirements must be fulfilled within the market segment
- Trading and settlement in foreign currency possible
- All prices are market prices
- All trading participants can trade and list on the market segment

**Regulated Unofficial Market („Freiverkehr“)** = MTF according to Art. 4 (22) MiFID II

- Segment MIC: STUB / STUD

### **EUWAX trading segment**

- Further inclusion into the EUWAX trading segment possible
- The leading trading platform for derivative securities in Europe
- Highest liquidity and price quality through pricing and liquidity spending by Liquidity Providers

# Listing criteria in general

# Listing criteria in general

Market Segment	Regulated Market („Regulierter Markt“)		Regulated Unofficial Market („Freiverkehr“)	
Listing Type	Primary Listing	Secondary Listing	Primary Listing	Secondary Listing
<b>Criteria for the Listing of Securities</b>				
Freely tradable	Listing of derivative securities not possible in this market segment.		applicable	applicable
Ensuring orderly trading			applicable	applicable
Ensuring orderly settlement			applicable	applicable
Approval of the issuer			applicable	not applicable
Publication of prospectus			applicable, if a public offer is intended	not applicable
Listing at another trading venue			not applicable	Applicable
Legal Entity Identifier (LEI) of the issuer			applicable	applicable

# Listing criteria specifically for derivative securities

# Listing criteria specifically for derivative

Market Segment	Regulated Market („Regulierter Markt“)		Regulated Unofficial Market („Freiverkehr“)	
Listing Type	Primary Listing	Secondary Listing	Primary Listing	
<b>Criteria for the listing of derivative securities</b>				
<b>Regulations</b>	In diesem Marktsegment kein Listing von verbrieften Derivaten möglich		Terms and Conditions for Trading in the Regulated Unofficial Market: Inclusion of securities not admitted to, or included in trading at another trading venue	Terms and Conditions for Trading in the Regulated Unofficial Market: Inclusion of securities already admitted to, or included in trading at another trading venue
<b>Fees</b>			The fee for the inclusion is EUR 250.00 No annual fee	The fee for the inclusion is EUR 250.00 No annual fee
<b>Application forms</b>			Application Regulated Unofficial Market Derivatives First Listing + relevant documents	Application Regulated Unofficial Market Derivatives Secondary Listing
<b>Reference Data</b>			All necessary reference data according to Article 27 MiFIR and Article 4 MAR are raised within the application process	



# Trading and settlement currencies for derivative securities

# Trading and settlement currencies

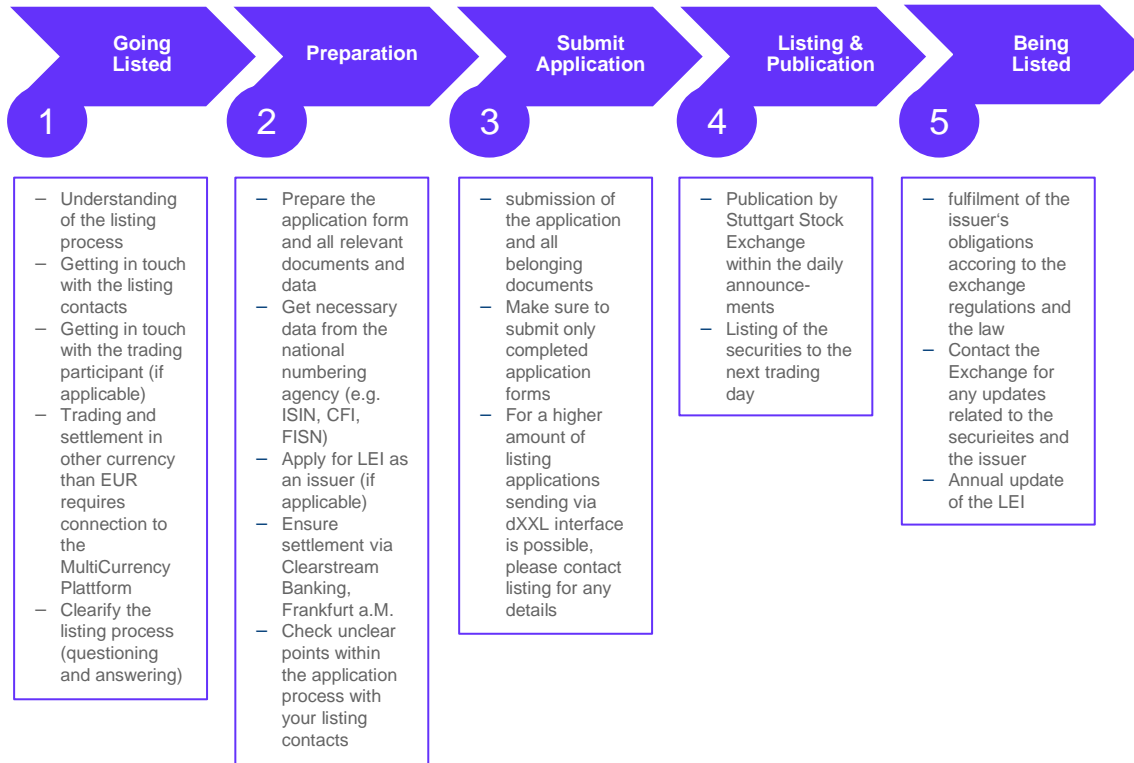
## for derivative securities

Trading and Settlement currencies (Section 59 (3) Exchange Rules)		
Code*:	Currency	Country
EUR	Euro	Euro Member Countries
AUD	Australian Dollar	Australia
CAD	Canadian Dollar	Canada
CHF	Swiss Franc	Switzerland
CNY	Chinese Yuan Renminbi **	China
CZK	Czech Koruna	Czech Republik
DKK	Danish Krone	Denmark
GBP	Pound Sterling	United Kingdom
HKD	Hong Kong Dollar	Hong Kong
HUF	Hungarian Forint	Hungary
JPY	Japanese Yen	Japan
MXN	Mexican Peso	Mexico
NOK	Norwegian Krone	Norway
NZD	New Zealand Dollar	New Zealand
PLN	Polish Zloty	Poland
RUB	Russian Ruble	Russian Federation
SEK	Swedish Krona	Sweden
SGD	Singapore Dollar	Singapore
TRY	Turkish Lira	Turkey
USD	US Dollar	United States of America
ZAR	South African Rand	South Africa

- The following currencies can be determined as trading and settlement currency
- Connection to the Boerse Stuttgart trading platform 2 (MIC: STUD) is required

# Listing process for derivative securities

# Listing process for derivative securities



# Contact

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