

# Listing of Equities

at the Stuttgart Stock Exchange

# Agenda

1. Two Market segments for different demands
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# Two market segments for different demands

# Two Market segments

## for different demands

### Stuttgart Stock Exchange

- Equities from national and international issuers can be listed and traded
- Listing requirements must be fulfilled within the market segment
- Two different market segments are possible for the listing of equities
- All prices are market prices
- For both market segments the same trading system is used
- All trading participants can trade and list on both market segments

#### Regulated Market („Regulierter Markt“)

= regulated market according to Art. 4 (21) MiFID II

Segment MIC: STUA

#### Regulated Unofficial Market („Freiverkehr“)

= MTF according to Art. 4 (22) MiFID II

Segment MIC: STUB

# Listing criteria in general

# Listing criteria in general

| Market Segment                                | Regulated Market<br>(„Regulierter Markt“) |                   | Regulated Unofficial Market<br>(„Freiverkehr“) |                   |
|-----------------------------------------------|-------------------------------------------|-------------------|------------------------------------------------|-------------------|
| Listing Type                                  | Primary Listing                           | Secondary Listing | Primary Listing                                | Secondary Listing |
| <b>Criteria for the Listing of Securities</b> |                                           |                   |                                                |                   |
| Freely tradable                               | applicable                                | applicable        | applicable                                     | applicable        |
| Ensuring orderly trading                      | applicable                                | applicable        | applicable                                     | applicable        |
| Ensuring orderly settlement                   | applicable                                | applicable        | applicable                                     | applicable        |
| Approval of the issuer                        | applicable                                | not applicable    | applicable                                     | not applicable    |
| Publication of prospectus                     | applicable                                | not applicable    | applicable,<br>if a public offer is intended   | not applicable    |
| Listing at another trading venue              | not applicable                            | applicable        | not applicable                                 | Applicable        |
| Legal Entity Identifier (LEI) of the issuer   | applicable                                | applicable        | applicable                                     | applicable        |

# Listing criteria specifically for equities

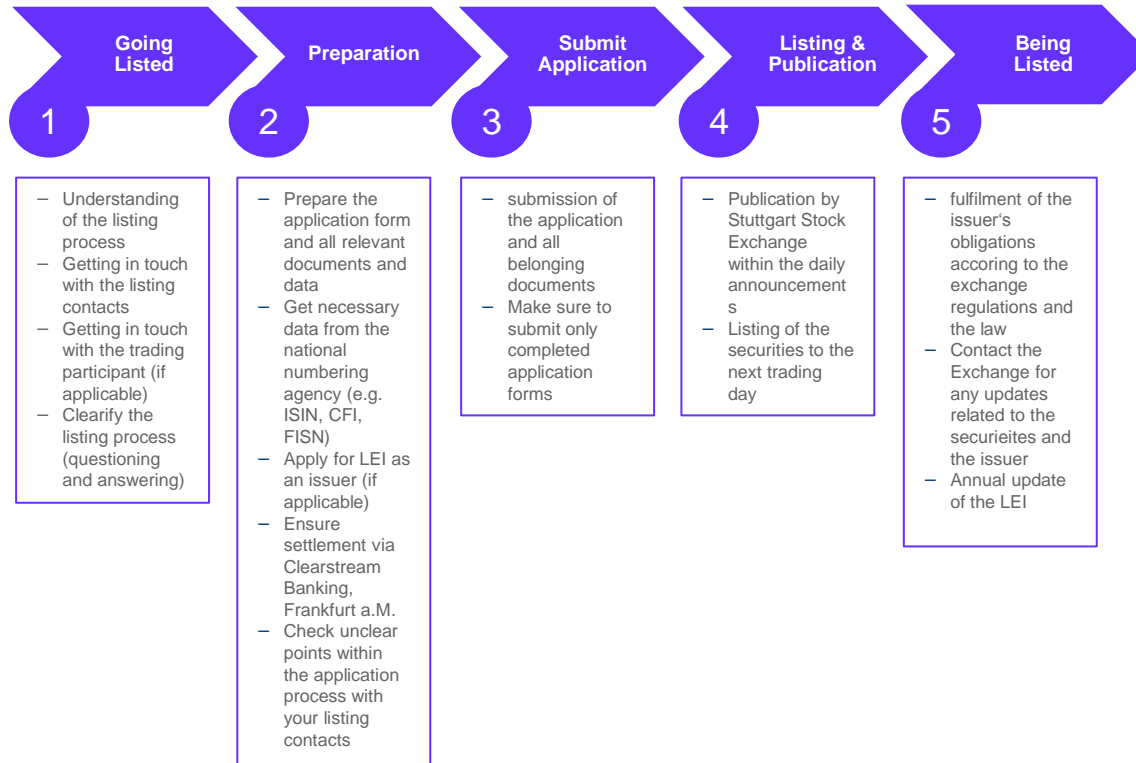
# Listing criteria specifically for equities

| Market Segment                              | Regulated Market („Regulierter Markt“)                                                                                    |                                                                     | Regulated Unofficial Market („Freiverkehr“)                                                                                                                   |                                                                                                                                                                   |
|---------------------------------------------|---------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Listing Type                                | Primary Listing                                                                                                           | Secondary Listing                                                   | Primary Listing                                                                                                                                               | Secondary Listing                                                                                                                                                 |
| <b>Criteria for the listing of equities</b> |                                                                                                                           |                                                                     |                                                                                                                                                               |                                                                                                                                                                   |
| <b>Regulations</b>                          | Exchange Rules (Börsenordnung): Admission and Introduction to the Regulated Market                                        | Exchange Rules (Börsenordnung): Inclusion into the Regulated Market | Terms and Conditions for Trading in the Regulated Unofficial Market: Inclusion of securities not admitted to, or included in trading at another trading venue | Terms and Conditions for Trading in the Regulated Unofficial Market: Inclusion of securities already admitted to, or included in trading at another trading venue |
| <b>Fees</b>                                 | The fee for the admission is EUR 3,000.00, the fee for the introduction is EUR 2,500.00<br>No annual fee                  | The fee for the inclusion is EUR 250.00<br>No annual fee            | The fee for the inclusion is EUR 1,000.00<br>The annual fee is EUR 750.00                                                                                     | The fee for the inclusion is EUR 250.00<br>No annual fee                                                                                                          |
| <b>Application forms</b>                    | Application Regulated Market Admission Equities + Application Regulated Market Introduction Equities + relevant documents | Application Regulated Market Inclusion Equities                     | Application Regulated Unofficial Market Equities First Listing + relevant documents                                                                           | Application Regulated Unofficial Market Equities Secondary Listing                                                                                                |
| <b>Reference Data</b>                       | All necessary reference data according to Article 27 MiFIR and Article 4 MAR are raised within the application process    |                                                                     |                                                                                                                                                               |                                                                                                                                                                   |



# Listing process for equities

# Listing process for equities



# Contact

## Team Listing

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