

FAQ

Participation in Exchange Trading

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Team Listing

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Frequently Asked Questions

Questions related to the participation in Exchange trading of enterprise at the Stuttgart Stock Exchange

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1 Trading participants

(1.1) How to become a trading participant

For the participation in Exchange trading, enterprises (credit institutions, financial service institutions and financial institutions) are required to get an admission by the Stuttgart Stock Exchange Board of Management, as well as a technical connection to the XITARO trading system.

The application to the admission of enterprises to the participation in Exchange trading shall be submitted in written form. The application shall identify the person who will participate in Exchange trading on the enterprise's behalf.

The admission to the participation in Exchange trading is specified in the German Exchange Act and in the regulations of the Stuttgart Stock Exchange, especially in the Exchange Rules. The regulations are published under the following link:

Link

(1.2) Where is the list of the admitted trading participants at the Stuttgart Stock Exchange published?

The trading participants admitted to Exchange trading at the Stuttgart Stock Exchange are published under the following link:

Link

(1.3) How to apply for the admission as an Exchange Trader?

For the admission as an exchange Trader to Exchange trading a completely filled and signed application form, as well as a signed CV and the evidence about the professional qualification is required. The application forms, as well as the Regulation for Admission of Exchange Traders are published under the following link.

Link

(1.4) What is the difference between limited and unlimited admission?

In general the access to the Exchange is not distinguished by a limited and an unlimited admission. The limited admission as Exchange Trader must only be extended after three years. The unlimited admission is valid until the revocation of admission by the trading participant or by the Exchange Trader.

(1.5) How to prove the professional qualification as Exchange Trader?

The professional qualification for the unlimited admission can be proved by an admission at another trading venue (excluding an admission at the Eurex Exchange), or by the examination as Exchange Trader (shall be not older than 2 years).

The professional qualification for the limited admission can be proved by an admission to the Eurex Exchange, by a respective study, by an apprenticeship or an education in the economic-, banking-, or financial exchanges

sector.

2 Other questions

(2.1) What is Team Listing doing at the Stuttgart Stock Exchange?

Team Listing belongs via the Baden-Württembergische Wertpapierbörse GmbH to the regulated part of Stuttgart Stock Exchange.

Next to the issues related to the listing of financial instruments, Team Listing is in charge of the issues related to the participation in Exchange Trading of enterprises and persons (Exchange Trader), the issues related to Market Rulings, as well as the issues related to regulatory reporting.

You can contact the members of Team Listing on any exchange trading days between 8 a.m. and 10 p.m. via e-mail listing@boerse-stuttgart.de or via phone under +49 711 222 985-528.

(2.2) What can be done if a question is not mentioned in the FAQs?

You are welcome to contact us! The team members of Team listing are available on any exchange trading day between 8 a.m. and 10 p.m. via e-mail listing@boerse-stuttgart.de or via phone under +49 711 222 985-528.